

**INTEGRATED SAFEGUARDS DATA SHEET
(FCPF READINESS FUND)
CONCEPT STAGE**

Report No.:

Date ISDS Prepared/Updated: October 13th, 2011

I. BASIC INFORMATION

A. Basic Project Data

Country: Ghana	Project ID: P124060	
	Additional Project ID <i>(if any)</i> :	
Project Name: Ghana-REDD Readiness Preparation Support		
Task Team Leader: Flavio Chaves		
Estimated Appraisal Date: N/A	Estimated Board Date: N/A	
Managing Unit: AFTEN	Lending Instrument: TF Grant	
Sector: Agriculture, Fishing and Forestry		
Theme: Climate Change and Forestry		
FCPF Amount (US\$m.): US \$ 3.4 million Other financing amounts by source:		
Environmental Category: B		
Simplified Processing	Simple [X]	Repeater []
Is this a transferred project	Yes []	No [X]

B. Project Objectives [from section 2 of R-PP Assessment Note]:

The Project Development Objective is to support Ghana in preparing itself to engage in an international REDD+ mechanism through the design of a socially and environmentally sound national REDD+ strategy, an equitable benefit sharing mechanism and the development of a reference scenario that takes into account national circumstances.

In addition the project will support a strategic environment and social assessment, the findings of which will feed into the design of the REDD+ strategy.

Key Results

1. A National REDD+ Strategy (including the legal framework) is prepared and validated by national stakeholders;
2. A reference scenario is developed taking into account national circumstances;

3. A SESA summary is submitted and a draft ESMF is prepared and validated

C. Project Description [from section 3 of R-PP Assessment Note]:

The overall REDD Readiness needs in Ghana as presented in its R-PP add up to USD 7.5 million. The country has requested USD3.4 million from the FCPF to contribute towards the implementation of the priority activities identified in the R-PP¹. Various partners have already indicated their willingness to provide funding to the Readiness process, including the Swiss Development Agency, and the Sector Budget Support under the NREG and indirect support for purchase of hardware and software to support MRV systems from the Japanese government.

In the spirit of coordination and drawing on the comparative advantages of each agency the Government of Ghana in consultation with development Partners agreed that the following activities be supported through FCPF. For a complete description of R-PP budget, please refer to Annex 4.

Component 1: Support to the coordination of the REDD+ Readiness Process and to Project Management including Monitoring and Evaluation for project performance	\$0.55
Component 2: Contribute to the design of the national REDD+ strategy for Ghana including strategic social and environmental assessment	
a. Analytical work in support of design of National REDD+ strategy + SESA	\$0.6
b. Support Information Sharing and Multi-stakeholder Consultation Process (including consultations for SESA)	\$0.6
Component 3: Preparation of National Reference Scenario for REDD+	\$1.2
Component 4: Support mapping and planning of institutional and technical needs for monitoring, reporting and verification systems for REDD+ ²	\$0.15
10 % contingency	\$0.3
TOTAL	\$3.4

The organization of components to be supported with FCPF REDD readiness support presented in the table above is not as the same as components of the R-PP.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known):

The project does not entail activities on the ground. Rather, it is focused on providing technical assistance to the government for the development of technical and institutional capacities to participate in a future international REDD+ mechanism.

E. Borrower's Institutional Capacity for Safeguard Policies:

National Institutional Capacity for the implementation of safeguards at the level required for REDD+ readiness is inadequate. The National REDD plus Steering Committee (now the REDD Technical

² This component is predominantly expected to be supported by JICA resources

Working Group) was set up in 2009 to support coordination and is responsible for: i) daily management of the REDD national coordination and monitoring activities provided in the annual work plan; ii) ensuring the technical secretariat of the National and inter-ministerial REDD Committees; iii) ensuring coordination of the REDD process with other government initiatives and donors; iv) encouraging a participative approach to the REDD process through the involvement of and consultation with different stakeholders, particularly forest communities. A separate sub committee made up of government and civil society representatives has been set up to steer the SESA process in the readiness phase. A local expert with experience in the SESA will assist the Government in the conduct of SESA and ensuring SESA feeds back into the national REDD strategy development process. A staff from the Environment Protection agency in Ghana, which is experienced in application of national environmental safeguards, is represented on the sub-committee. In addition, this Committee will receive adequate guidance from the Bank's safeguards specialist project team in order to implement the social and environmental mitigation measures. The Grant will finance the application of SESA.

F. Environmental and Social Safeguards Specialists on the Team:

Moses Duphey
Lucienne M M'Baipor

Environmental Safeguard Specialist, AFTEN (CO)
Sr. Social Development Spec, AFTCS (CO)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

It is envisioned that the safeguards policies mentioned below may be triggered in the REDD+ Readiness process.

Safeguard Policies Triggered <i>(please explain why)</i>	Yes	No	TBD
Environmental Assessment (OP/BP 4.01)	x		
In view of the nature of REDD + Readiness the borrower will use a Strategic Environmental and Social Assessment (SESA) in the context of REDD+ readiness. The strength of a SESA for REDD+ is that it will combine the analytical work and consultation in an iterative fashion to inform the preparation of the REDD+ strategy. The SESA will help ensure compliance with the Bank's safeguard policies by integrating key environmental and social considerations relevant to REDD+ including all those covered by the safeguard policies. The SESA will help the borrower formulate their REDD+ strategy in a way that reflects inputs from key stakeholder groups and addresses the main environmental and social issues to be identified. The SESA includes an Environmental and Social Management Framework (ESMF) as a distinct output, which provides a framework for managing and mitigating the environmental and social risks related to investments and carbon finance transactions in the context of the future implementation of REDD+. The future investments and carbon finance transactions will still require specific environmental and social assessments, but these will benefit from the strategic context created by the SESA and ESMF. The ESMF will include standard methods and procedures, along with appropriate institutional arrangements for screening, reviewing, implementing and monitoring specific ESMFs to prevent adverse impacts as well as cumulative impacts.			
Natural Habitats (OP/BP 4.04)	x		
This policy seeks to ensure that all options proposed in the National REDD+ Strategy should take into account the conservation of biodiversity, as well as the numerous environmental services and products that natural habitats provide to human society. Overall, REDD+ activities are expected to have significant			

Safeguard Policies Triggered <i>(please explain why)</i>	Yes	No	TBD
positive impacts on natural habitats, as the country puts in place an effective strategy to reduce loss of natural forests. This policy strictly limits the circumstances under which any Bank-supported project can damage natural habitats (land and water areas, in which most of the native plant and animal species are still present). The SESA will address issues related to natural habitats and potential impacts of the National REDD+ Strategy, which will later be included in the ESMF.			
Forests (OP/BP 4.36)	x		
Overall, REDD+ activities are expected to have significant positive impacts on forest, in that the main goal of the program is to reduce deforestation and forest degradation, while contributing to the well-being of forest-dependent communities. Potential impact of the National REDD+ Strategy on natural forests will be assessed through the SESA and included in the ESMF. The SESA and associated ESMF will reflect the requirements of the Bank's Operational Policy regarding forest management, in particular as these relate to the establishment of plantations.			
Pest Management (OP 4.09)		X	
Agricultural intensification and reforestation activities may form part of the final scope of the National REDD+ Strategy. While increased procurement of pesticides or use of pesticides is often associated with agricultural intensification, and could thus trigger this policy, it is assumed that the SESA process would lead to the identification of environmentally sustainable alternatives, such as Integrated Pest Management practices in strategy options related to intensified agricultural activities. The SESA will address critical issues related to pest management upstream, as necessary. The policy has thus not been triggered.			
Physical Cultural Resources (OP/BP 4.11)			X
This policy could be triggered if REDD+ activities promote actions in areas containing sites deemed physical cultural resources by the local communities living there (e.g. holy/secret sites such as sacred groves, sacred forests, etc.). Though it is not anticipated that the project will have negative impacts on any such sites, the existence of any such sites and the corresponding need to trigger this policy will be determined once the National REDD+ Strategy is completed.			
Indigenous Peoples (OP/BP 4.10)		X	
Involuntary Resettlement (OP/BP 4.12)	X		
REDD+ activities might trigger Involuntary Resettlement in situations involving involuntary restrictions of access to legally designated parks, protected areas, or forest management / reforestation areas. The policy aims to avoid involuntary resettlement to the extent feasible, or to minimize and mitigate its adverse social and economic impacts in cases where resettlement or other involuntary restrictions cannot be avoided. Through the SESA process, any issues related to involuntary resettlement, including, but not limited to, economic displacement, land acquisition, access restriction, etc. will be identified, and a Resettlement Policy Framework will be prepared.			
Safety of Dams (OP/BP 4.37)		x	
Projects on International Waterways (OP/BP 7.50)		x	

Safeguard Policies Triggered <i>(please explain why)</i>	Yes	No	TBD
Projects in Disputed Areas (OP/BP 7.60)		x	
Piloting the Use of Borrower Systems to Address Environmental and Social Safeguard Issues in Bank-Supported Projects (OP/BP 4.00)			

III. SAFEGUARD PREPARATION PLAN

- A. Target date for review meeting of REDD Country's mid-term progress report, at which time the ISDS may be updated as needed: N/A**
- B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing³ should be specified in the initial ISDS and/or updated ISDS:**

³ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in-country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.

The specific studies and their timing⁴ will be specified in the PAD-stage ISDS.

The Project will not finance at this stage any concrete investment activities on the ground and is thus not expected to have any direct environmental and social impact on the ground. However, it is considered that the Project might have indirect impacts in the sense that the findings from analytical work carried out under the Project may influence the design of the national REDD+ strategies. Draft Terms of Reference of the SESA have been prepared by the SESA sub-committee. For the preparation of the Ghana's REDD Strategy, the FCPF will finance SESA including the proposed studies and consultations. The terms of reference of the proposed studies and consultations will be reviewed by the Bank to ensure proper consideration of social concerns and the inclusiveness of consultations. These will be validated by the stakeholders before the launch of the SESA, once the Readiness Preparation Grant has been signed. It is expected that the SESA can be launched early in 2012.

The main safeguard instrument to be applied is the SESA. The SESA includes as part of the SESA process the preparation of an ESMF. The ESMF may evolve and be updated over time when new REDD + strategy options and projects or activities (including investments), or policies/regulations are identified during implementation of REDD+. The SESA addresses the key environmental and social issues associated with the analysis and preparation of REDD+ strategy options as well as REDD+ projects, activities (including investments), policies and regulations. In this manner SESA can ensure compliance with World Bank's environmental and social safeguards.

The SESA process requires that the selection of REDD+ strategy options should take into account the country's institutional and capacity constraints for managing environmental and social risks, and the potential environmental and social impacts associated with these strategy options. Any identified gaps to manage these risks and potential impacts in relation to relevant World Bank's safeguard policies should be identified along with the strategy options to feed into the preparation of the ESMF. The ESMF should provide a framework to manage and mitigate the potential environmental and social impacts related to specific projects and activities (including investments and carbon finance transactions), in the context of the future implementation of REDD+.

The ESMF will be structured to contain subject-specific self-standing frameworks addressing the relevant requirements of the applicable environmental and social safeguard policies including, but not necessarily limited to, a Resettlement Policy Framework (RPF); Process Framework (PF). The RPF and/or PF will be separate chapters of the ESMF, but will also serve as stand-alone documents. The ESMF will be updated as Ghana gets closer to the submission of its Readiness Package.

⁴ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in-country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.

IV. APPROVALS

<i>Signed and submitted by:</i>		
Task Team Leader:	Name: Flavio Chaves	Date
<i>Approved by:</i>		
Regional Safeguards Coordinator:	Name Alexandra C. Bezeredi	Date
Comments:		
Sector Manager:	Name: Idah Pswarayi-Riddihough	Date
Comments:		